

Controlled functions for single-regulated firms

FCA	
Governing functions	
CF1	Director
CF2	Non-executive director
CF3	Chief executive
CF4	Partner
CF5	Director of an unincorporated association
CF6	Small friendly society
Required functions	
CF8	Apportionment and oversight
CF10	Compliance oversight
CF10a	Client assets
CF11	Money laundering reporting
Significant management functions	
CF28	Systems and controls
CF29	Significant management
Customer function	
CF30	Customer function